

CLASS MATERIALS



Realignment 101

The Basics of 1991 and
2011 Realignments

Andrew Pease
Robert Manchia
Diane Cummins
CI 307

CSAC REALIGNMENT 101
Hand-Out List

1. Agenda
2. Realignment 101 – PowerPoint Presentation
3. Realignment Resource List
4. LAO - Components of State and Local Program Realignment
5. 1991 Realignment MOE requirements
6. Prop 172 & Realignment Tracking (Sales Tax)
7. Sales Tax & VLF Realignment Tracking
8. 1991 Realignment Social Services Theory vs Reality

CSAC REALIGNMENT 101

“The Basics of 1991 and 2011 Realignments”

Day 1 - 09/14/2017

10:00 am – 3:30 pm

- Introductions
- History, Policy, Politics and People
- 1991 Realignment Structure
- 2011 Realignment Structure

Day 2 - 09/15/2017

10:00 am – 3:30 pm

- Tools and Models
- Recap - Similarities & Differences
- Group Activity
- Trends
- The Real View
- Discussion & Wrap-up

Presenters

- Diane Cummins – Special Advisor to the Governor
- Robert Manchia, San Mateo – County Manager's Office
- Andrew Pease, San Diego – Health & Human Services

Agenda – Day 1

- Introductions
- History, Policy, Politics and People
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- 2011 Realignment Structure

Agenda – Day 2

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What's It All About?

The State-Local Relationship

Governance

Services

Money

And, outside influences/pressures

The Road To 1991 Realignment

Proposition 13 and the State Bail-Out

- Property tax rate of 1% - \$6.88 B loss
- State assumes greater funding for schools: transfers property tax to other local governments
- State also changes HHS sharing ratios and provides program money to assist counties

Detour During the 1980's

Budget Problems (1981-82 to 1984-85)

- VLF Cuts
- Medically Indigent Health (MIA) transfer

New Partnership Task Force (1983)

- Stabilize local government funding
- Realign Programs
- Realignment, Restructuring or Disengagement – trade Trial Court costs for AFDC. Didn't happen but there was a benefit.....

1991 – Getting to Yes

- Prior budget reductions to Community Mental Health and Indigent Health programs
- 1990 election of Governor Wilson
- January \$7 billion budget problem – a major recession
- Only two significant discretionary programs – community mental health and indigent health funding (AB 8 Block Grant and MIA programs) proposed for elimination
- Willing to tax? Could realign programs

1991 January Budget Proposal

Increase alcoholic beverage tax to national average; change VLF depreciation – revenue for Realignment (\$942 million)

Transfer responsibility /funding to counties for AB 8 block grant, MIA block grant and community mental health (\$942 million)

Provide counties authority to increase sales tax by ½% for drug enforcement and crime prevention

Politics

A Different Era

- New Governor but old relationships
- “Big 5” met weekly
- Members knew the programs
- As budget problem grew to \$14 billion, Realignment grew to \$2.2 billion
- Revenue structure changed – no alcohol beverage tax; ½ cent sales tax added
- Added shares of cost in social services programs

Money, Policy, Meeting Needs

- Flexibility for counties – Mental Health
- Policy Changes through fiscal incentives
- Money – 1 account or many
- Who did the allocations and why
- Maintained baseline funding plus growth
- Mandate protection for the State
- Poison pills
 - Sales tax and Proposition 98
 - MIA Lawsuits
 - Mandates in general

Lessons Learned

- Programs realigned may be underfunded
- Growth in revenue may not cover increased costs
- New legislation costs money
- Federal or state government can change requirements
- Poison pills limit the policy discussion
- Generally considered a “success”

If Successful, Why Wait 20 Years?

- 1994 – Community-Based Punishment Act
- 1997 – State Trial Court Funding (initial steps in 1988)
- 1997 – CYA Sliding Scale
- 2007 – Juvenile Justice Realignment
- 2009 – Felony Probation (SB 678) BUT
- No sentencing reforms
- Lawsuits regarding state prison overcrowding

A New Governor With Motivation

- Build on previous success
- Move government closer to the people
- More flexibility/accountability at local level
- Interconnected programs together
- Focus on core services/improve services
- Clarify state/local roles – reduce duplication
- Help address budget gap of \$26.6 billion
- Federal 3-Judge panel on prison overcrowding

What Did the Governor Want?

Constitutional Amendment for June ballot – a
2/3 vote

- Extension of \$5.9 billion in temporary taxes
- Extension for 5 years for Realignment with an on-going guarantee
- Protections for both the state and counties
- Why a Constitutional Amendment?

2011 Public Safety Proposal

- Public Safety defined broadly (\$5.9 billion)
 - Child Welfare and Foster Care
 - Behavioral Health – EPSDT and SUD
 - Adult Protective Services
 - Court security
 - Law enforcement subventions
 - Juvenile justice programs
 - Community Corrections Program (AB 109)
 - 1991 Mental Health Funding

Who Else Was Involved?

- Counties
- Health and Human Services interests
- The Legislature
- California Department of Corrections
- Law Enforcement (Sheriffs, Probation, DAs, Police Chiefs)
- Criminologists
- Other interests

What Happened?

- AB 109 enacted in March, 2011
- No vote on a Constitutional Amendment
- 2011 Budget Act (June) included the entire Public Safety Realignment package
- Funded with 1.0625 cent state special fund sales tax and certain VLF funds – reduced GF sales tax by the same amount
- Agreement to delay AB 109 until October 1

Why and How?

Governor committed to Constitutional protections –
Prop 30 - November, 2012

Benefits to children's programs - \$200 million for
CWS

Mental Health Funding protected

Possibility of additional growth funding

Saved General Fund Prop 98 costs

No other major solution for 3 Judge Panel

Majority Vote Budget

But It Didn't End There

Change builds on previous Realignment actions

- Health Care Reform – move money from the Health Account
- CCI Initiative and IHSS “savings”
- CalWORKs COLAs and Maximum Family Grant
- Pulling the trigger on CCI

Why is it so complicated?

What Are the Similarities?

- State Budget crisis
- The threat of something worse
- Governor who knew what he was willing to do
- Given a dollar amount to work with
- Seemed to make sense
- Counties being flexible and willing to solve a problem
- Relationships
- Other ?

What is 1991 Realignment

- Change in State and County Relationship
 - A “realignment” of program responsibilities
 - Transfer of financial liability & administrative authority
- Goal
 - Mitigate State revenue gap
 - Give counties greater funding stability
 - Create an incentive to counties to operate programs with greater efficiency and effectiveness

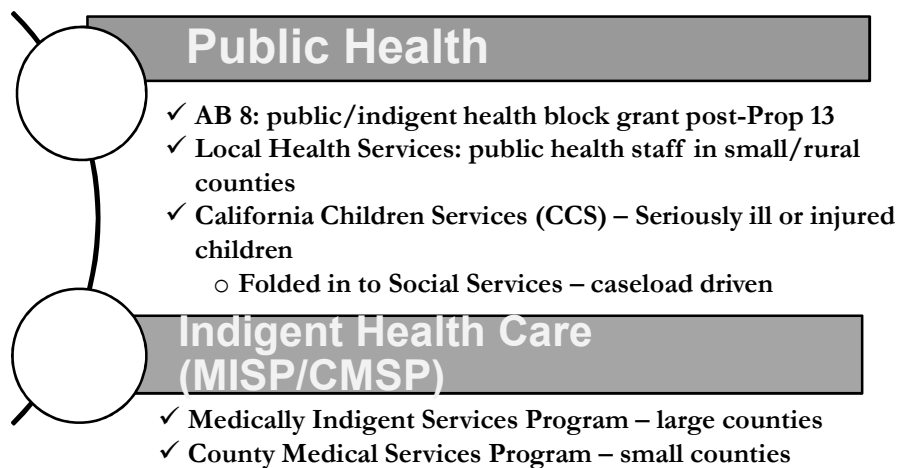
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1991 Realignment

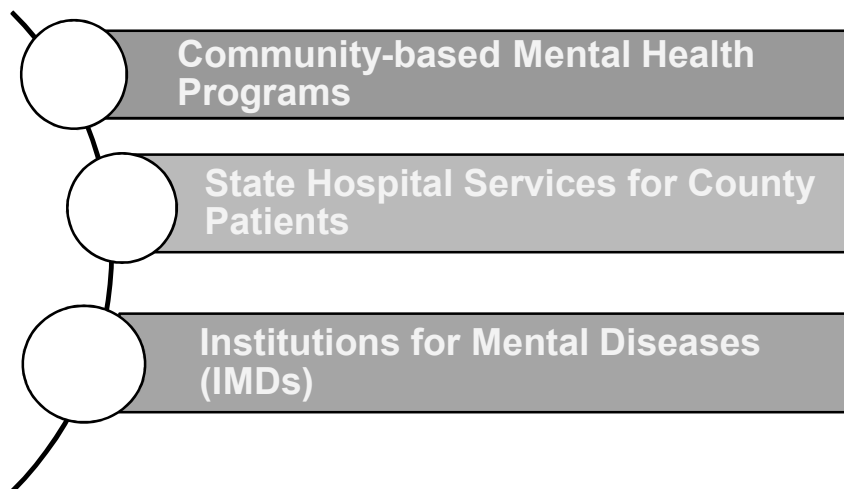
- 1991 Realignment (W&I Code 17600-17613.4)
 - Health
 - Mental Health
 - Social Services
- 1991 Realignment ½ cent sales tax
 - Appx. 75% vehicle license fees

25

Health Realignment: 1991



1991 Mental Health Realignment



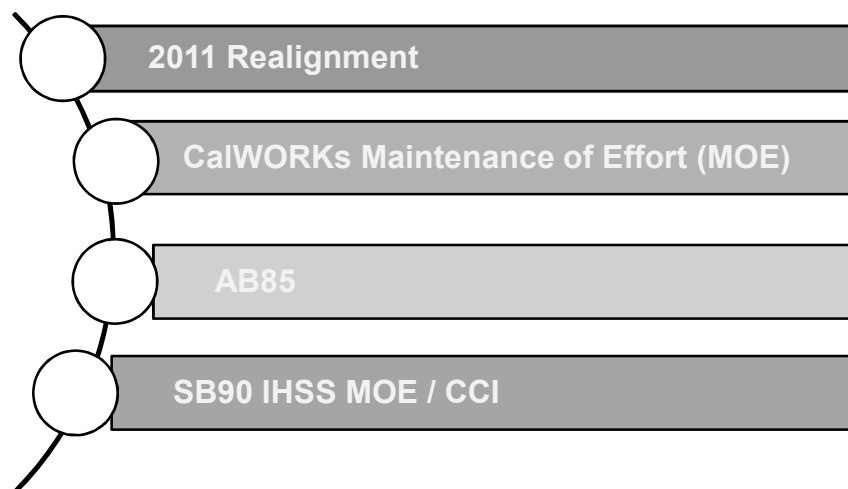
1991 Social Services Realignment



Components of State and Local Program Realignment

(in millions)			
Transferred Programs		COST SHARED TO COUNTIES	
Mental Health			\$750
• Community-based Mental Health Programs*			452
• State Hospital Services for County Patients			210
• Institutions for Mental Diseases (IMDs)			88
Public Health			\$606
• All 8 County Health Services			503
• Local Health Services (LHS)			3
Indigent Health			\$435
• Medically Indigent Services Program (MISP)*			348
• County Medical Services Program (CMSP)			87
Local Block Grants			\$52
• County Stabilization Subventions			15
• County Juvenile Justice Subventions			37
County Cost-Sharing Ratio Changes		STATE/COUNTY SHARES PRIOR REALIGNMENT	COSTS SHARED TO COUNTIES
		PRIOR LAW	REALIGNMENT
Health			
• California Children's Services		75 / 25	50 / 50
			\$30
Social Services			
• AFDC - Foster Care (AFDC-FC)*		95 / 5	40 / 60
		76 / 24	70 / 30
• Child Welfare Services (CWS)*			42
• In-Home Supportive Services (IHSS)*		97 / 3	65 / 35
			235
• County Services Block Grant (CSBG)*		84 / 16	70 / 30
			13
• Adoption Assistance Program		100 / 0	75 / 25
			12
• Greater Avenues for Independence (GAIN) Program		100 / 0	70 / 30
			26
• AFDC - Family Group and Unemployed Parent (AFDC-FG & U)		89 / 11	95 / 5
			-155
• County Administration (AFDC-FC, FG, U, foodstamps)		50 / 50	70 / 30
			-95
Net Additional County Expenditures			\$2,212

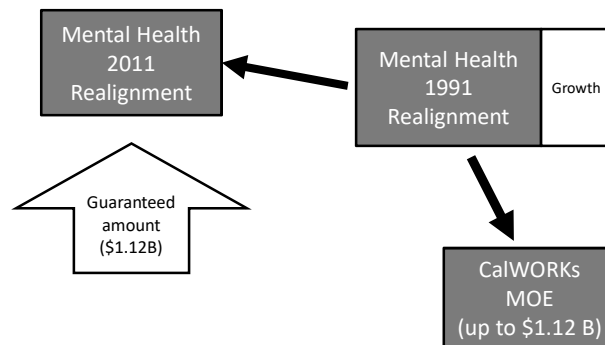
Major Changes Impacting 1991 Realignment



CalWORKs MOE Subaccount Changes to 1991 Realignment

- Created as part of 2011 Realignment
- 1991 Realignment revenues that went to Mental Health subaccount now go to CalWORKs MOE subaccount up to a capped amount of \$1.12 billion
- Mental Health subaccount now funded from 2011 Realignment
- CalWORKs MOE subaccount has reached capped amount, so additional growth funding goes to Mental Health subaccount

Mental Health to CalWORKs MOE



AB 85 Changes to 1991 Realignment

- AB 85 (Ch. 24/13) was one of the ACA implementation bills
- Redirected revenues from Health subaccount
- Created two new subaccounts – Family Support and Child Poverty and Family Supplemental Support
- Changed and redirected general growth distribution

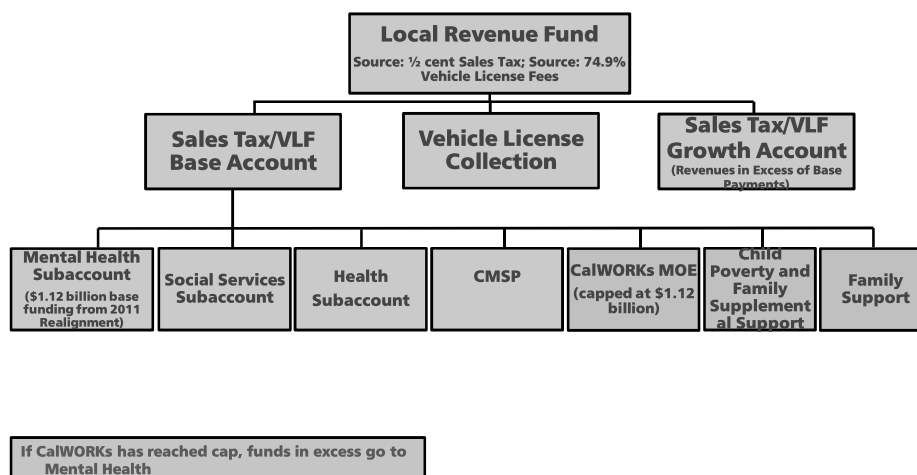
SB 90 Changes to 1991 Realignment

- With the termination of the Coordinated Care Initiative (CCI), the IHSS MOE was scheduled to end in June 2017.
- SB 90 re-established the IHSS MOE in FY 17/18 and included an increased MOE cost to counties of \$592 million

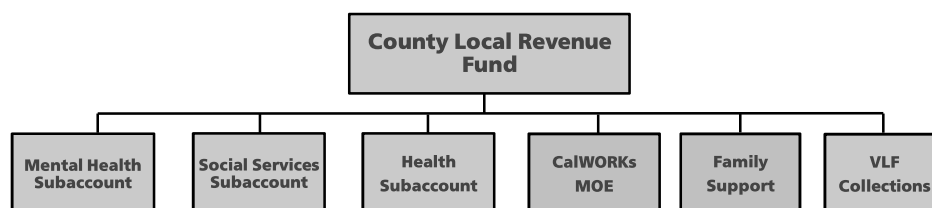
SB 90 Changes to 1991 Realignment (Continued)

- To help cover the cost shift, SB 90 included the following
 - A committed amount of one-time State General funds to counties for several years (Reducing net actual MOE increase to \$247 million in FY 17/18)
 - An Accelerated flow of Social Services Realignment
 - Redirected VLF Realignment from the Family Support, Health, & Mental Health Subaccounts

1991 Realignment Structure State



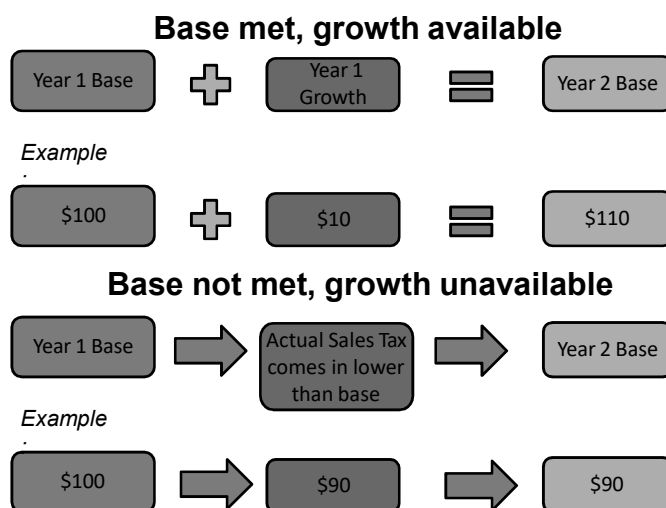
1991 Realignment Structure Local



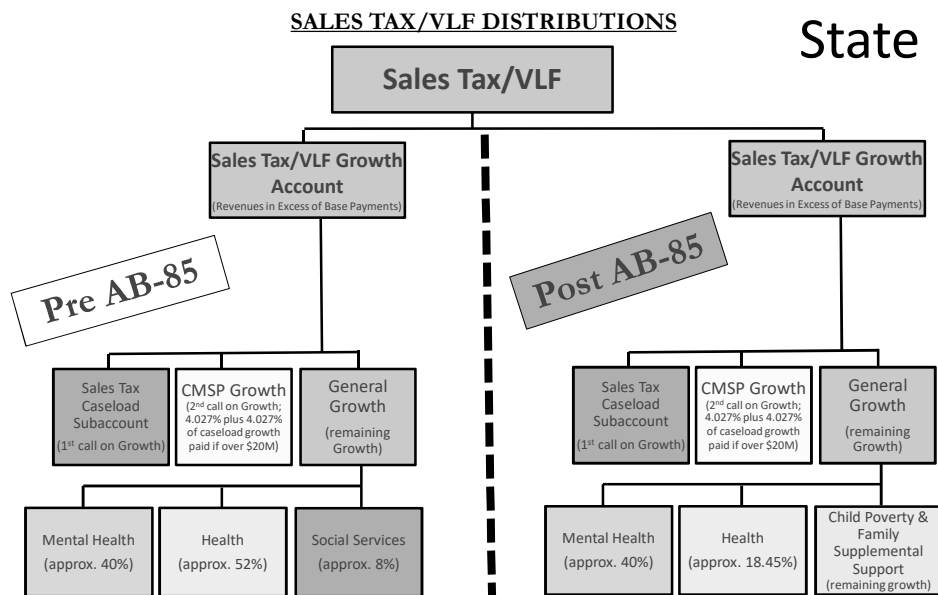
1991 Realignment “Rolling Base”

- “Rolling” Base: Base funding + Growth funding
= Next Fiscal Year’s Base
- No base restoration – if base funding level is not met in any fiscal year then next fiscal year’s base starts out lower

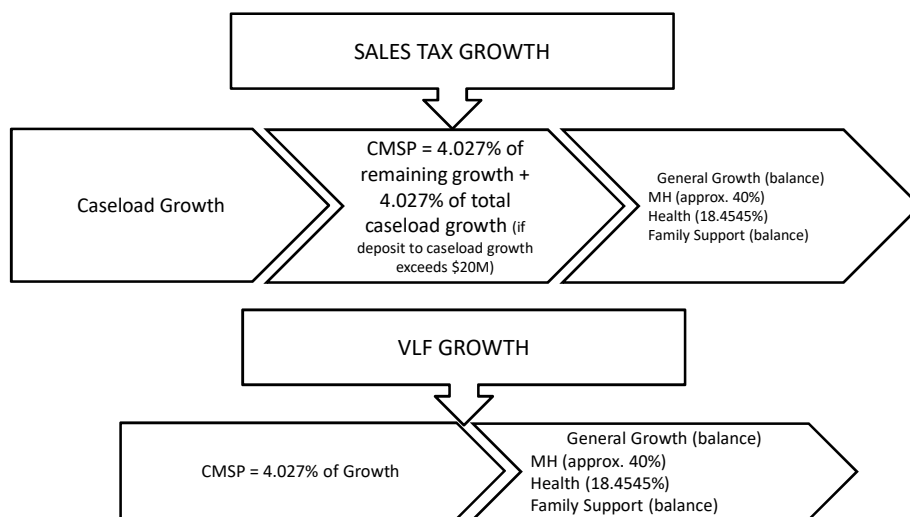
1991 Realignment Base Determination:



1991 Realignment Structure



1991 Realignment Growth Order (Pre SB 90)



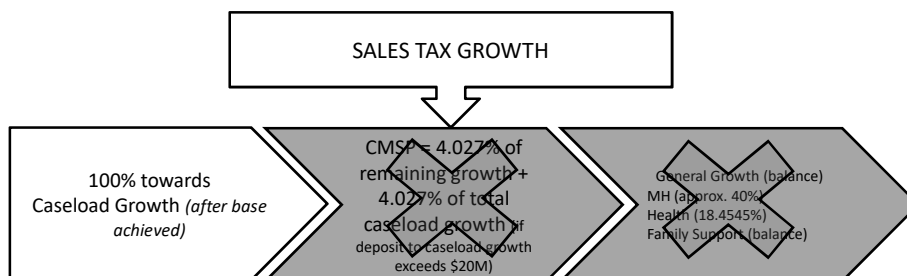
1991 Historic Realignment Caseload Growth Funding

- Reflects mandated growth in Social Services programs
- Amount based on program expenditures, not caseload
- Calculation based on change in County cost due to mandated cost increases (i.e. growth in caseload)
- Determined by comparison of County-specific costs from two years ago compared to last year
- Increased costs generally = more caseload growth

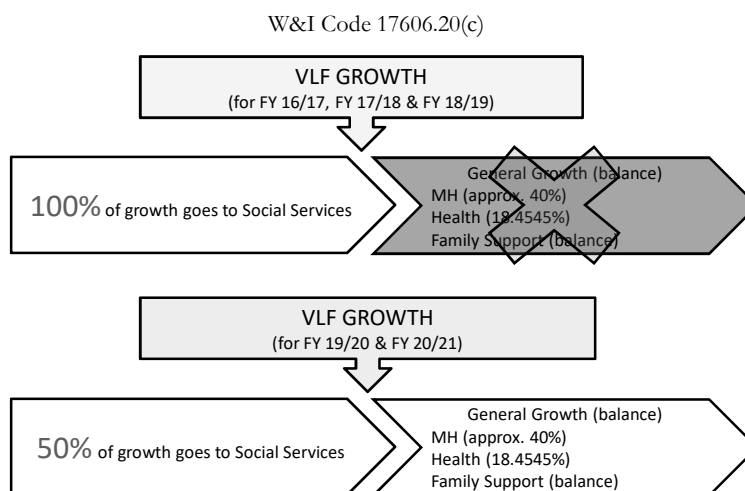
1991 Realignment Growth Flow (SB 90)

- It is projected that 100% of sales tax growth will go towards caseload growth for the foreseeable future
- Growth for IHSS caseload will be advanced prospectively and not after costs incurred (no two year wait)
 - Final reconciliation for IHSS caseload growth in 2 years to capture bargaining and any other net unfunded costs
- 2-year caseload growth process continues for non-IHSS Social Services programs
- For three years, 100% of VLF growth for Family Support subaccount, and the Mental Health and Health subaccounts will be redirected to cover IHSS costs when sales tax realignment is insufficient
- In the fourth and fifth year, 50% of VLF growth for Family Support subaccount, and the Mental Health and Health subaccounts will be redirected to cover IHSS costs when sales tax is insufficient
- In the sixth year, growth will go back to the normal flow (But – prior VLF redirection is permanent)

1991 Realignment Growth Expected flow (SB 90)



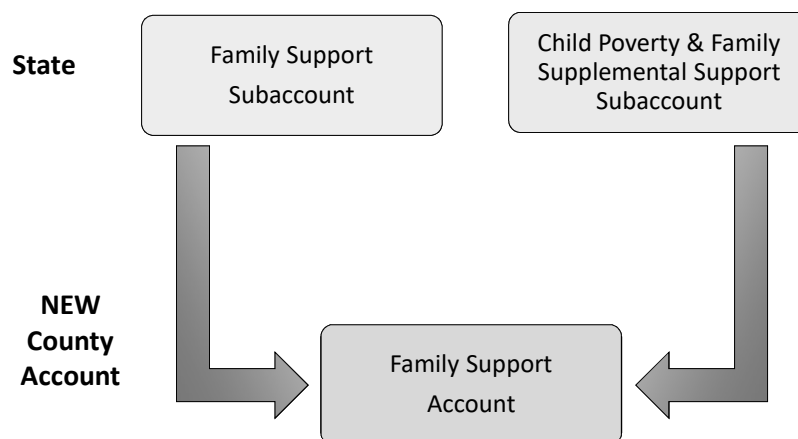
1991 Realignment Growth Expected Flow (SB 90)



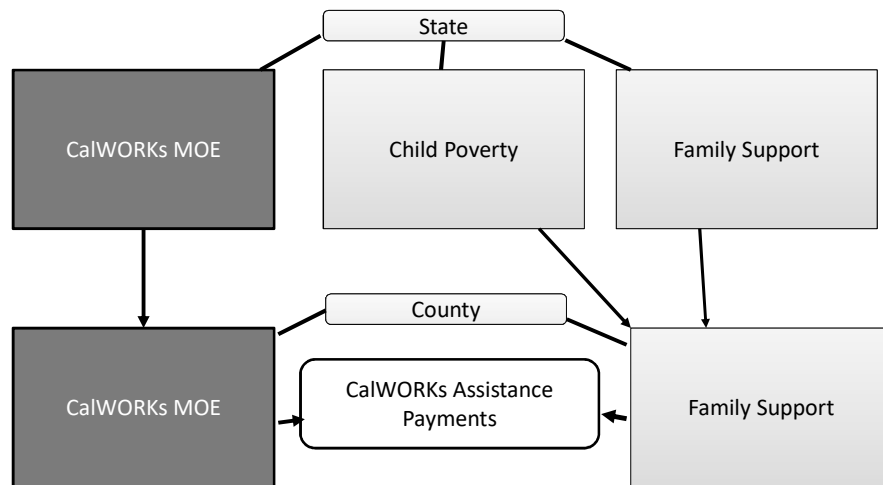
AB-85 Impacts

- Redirection of Health Realignment
 - New accounts (Child Poverty & Family Support)
 - Type of County formula
- VLF and Sales Tax Swap (Rescinded)
- Changes/Redirection in Growth

AB 85: State to County Transfer



CalWORKs MOE Assistance



1991 MOE Requirements

- Mental Health (WIC 17608.05)
- Social Services (None)
- Health (WIC 17608.1)

1991 Transfer Options

- No to CalWORKs MOE
- No to Family Support Account
- May reallocate money among accounts, not to exceed 10% of amount deposited for that fiscal year
- May reallocate addt'l. 10% from health to social services
- May reallocate addt'l. 10% from social services to mental health or health
- Must go to BOS
- Must notify SCO

2011 Realignment

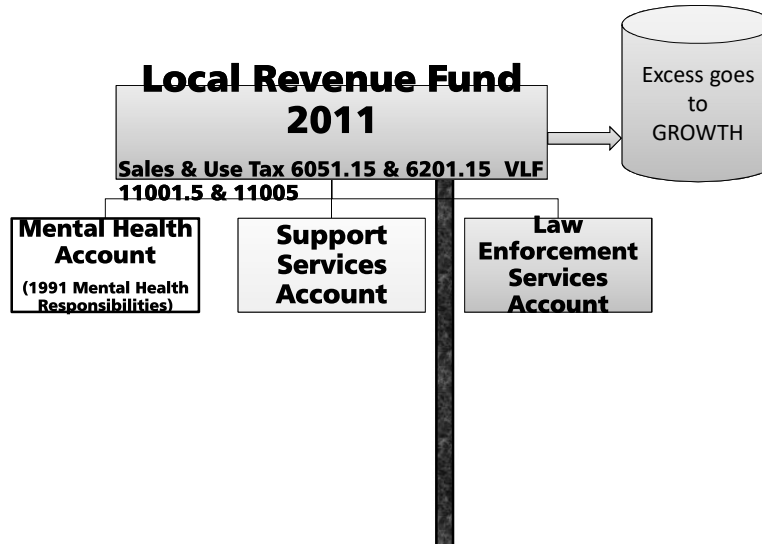
- **2011 “Public Safety” Realignment** (Gov Code 30025-30029.12)
 - Behavioral Health
 - Protective Services (Social Services)
 - Law Enforcement
- **2011 “Public Safety” Realignment** (Gov Code 30025-30029.12)
 - 1.06 cent sales tax
 - \$489 million vehicle license fees

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Local Revenue Fund 2011

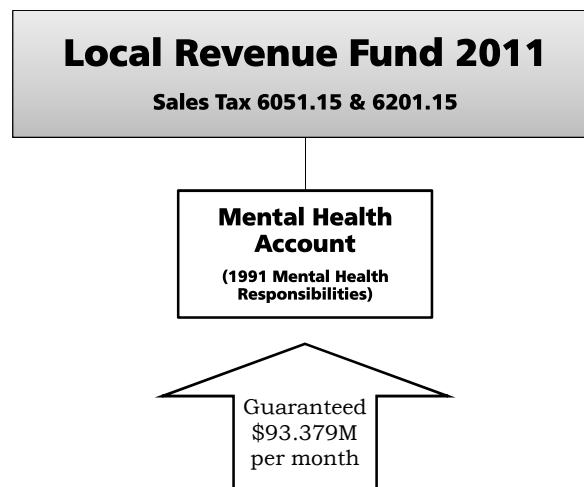
Gov Code Section 30027.8

State Structure

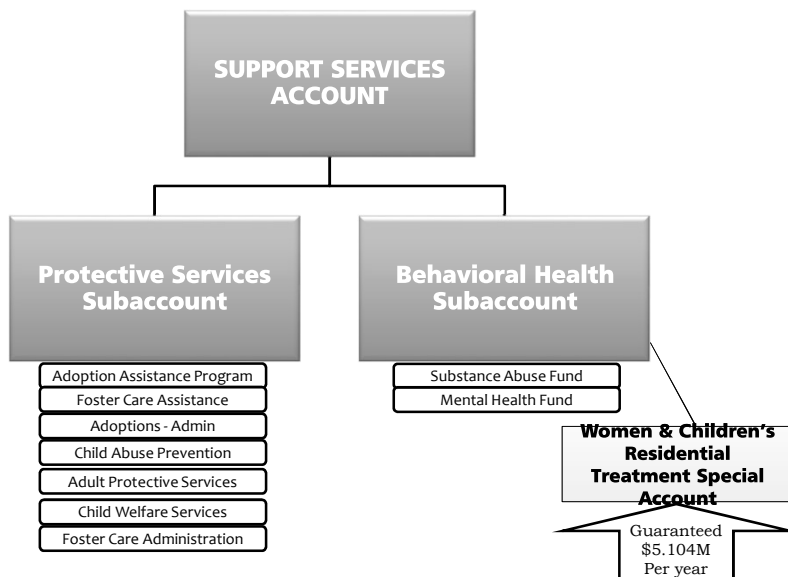


Mental Health Account

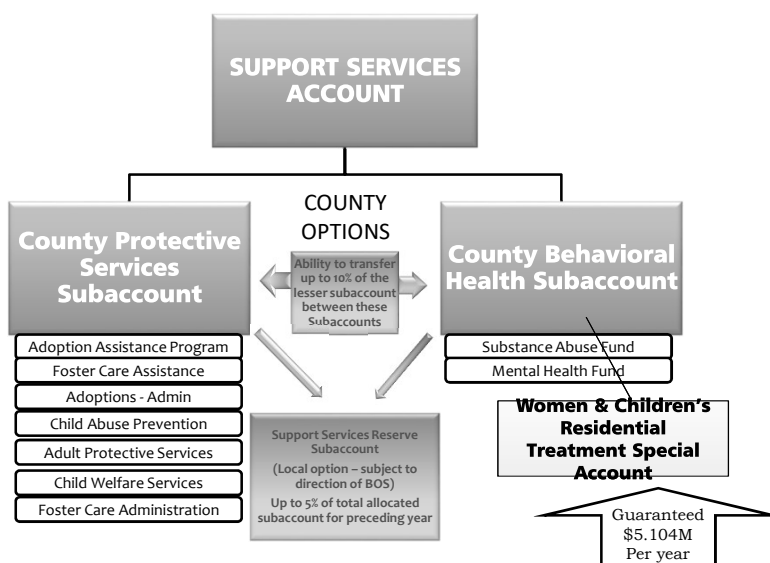
State Structure



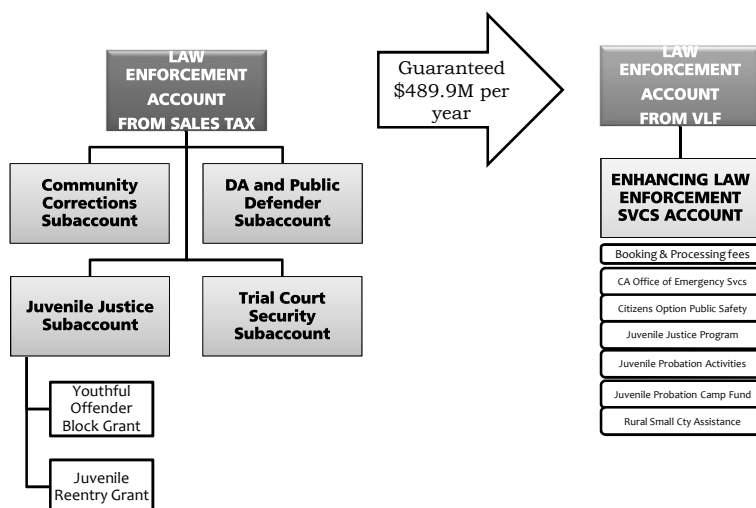
Support Services Account State Structure



Support Services Account County Structure



Law Enforcement Account State Structure

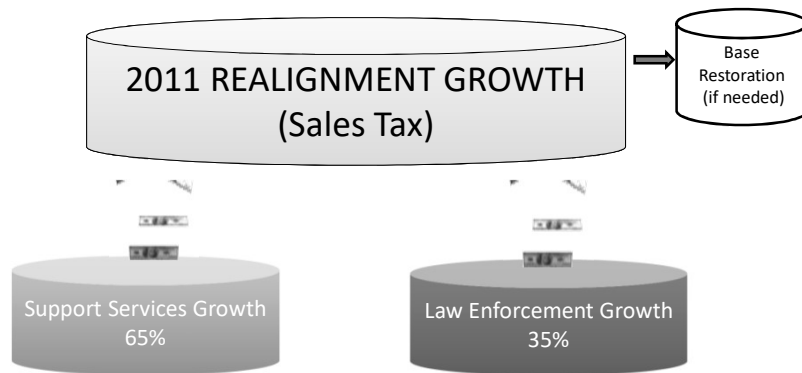


Community Corrections Subaccount (AB109) Long Term Allocation Formula Base Funding Factors

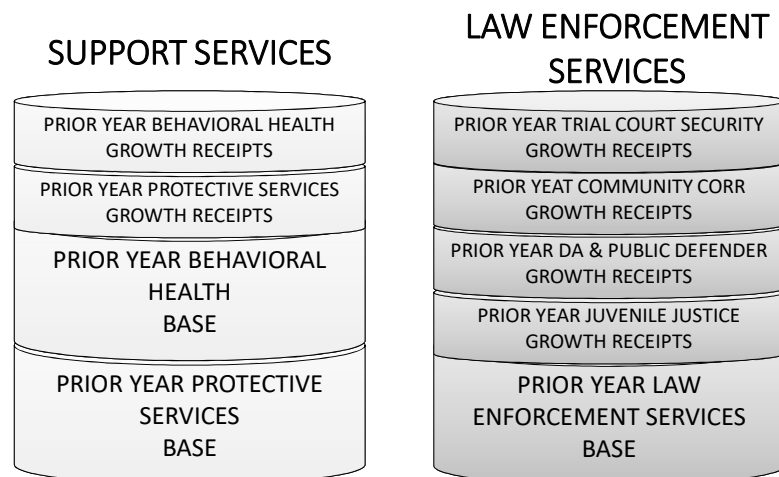
Beginning FY 2015-16

BASIS	PERCENTAGE	FACTORS
Caseload	45%	<ul style="list-style-type: none"> 1170h jail inmates PRCS Felony Probation
Crime and Population	45%	<ul style="list-style-type: none"> Number of serious crimes Adult Population
Special Factors	10%	<ul style="list-style-type: none"> Poverty Small county minimums Presence of State prison

Growth Account State Structure



2011 Realignment (Sales Tax) Base Calculation Summary



2011 Realignment Base Determination:

Base Amount Calculation

$$\text{Year 1 Base} + \text{Year 1 Growth} = \text{Year 2 Base}$$

Example:

$$\$100 + \$10 = \$110$$

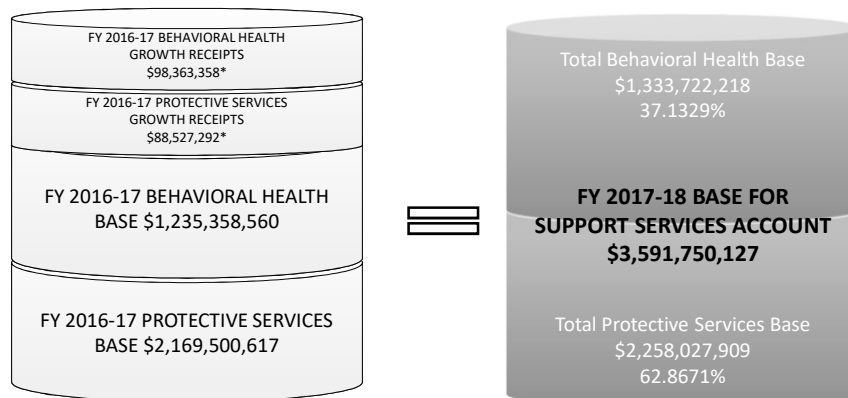
Base Ratio Calculation

$$\text{Year 2 Subaccount Base} \div \text{Year 2 Total SS + Law Enf. Base} = \text{Year 2 Subaccount \%}$$

Example: Y2 Base Support Svc = 220; Law Enforcement = 110

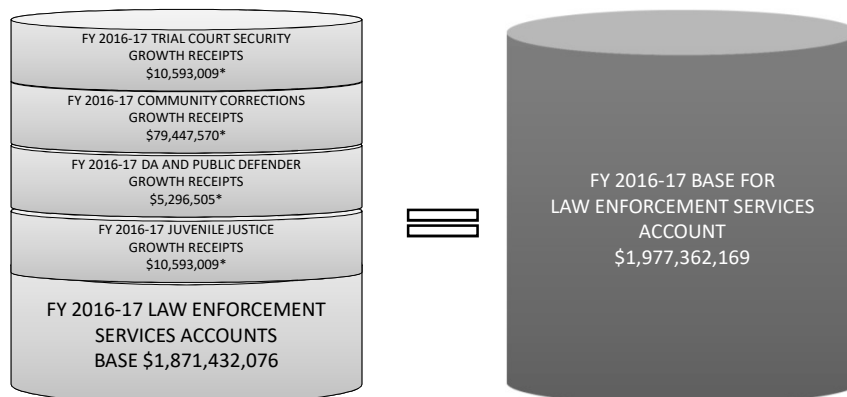
Support Services	\$220	÷	\$330	=	66.67%
Law Enforcement	\$110	÷	\$330	=	33.33%

Support Services Next Year's Base Calculation



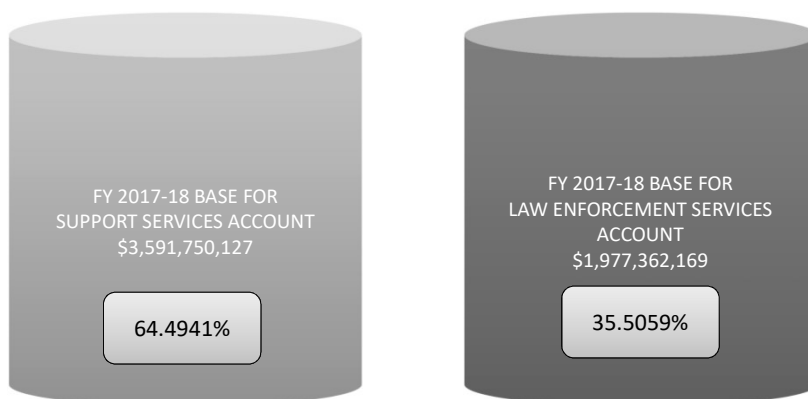
* Pending distribution

Law Enforcement Services Next Year's Base Calculation



* Pending distribution

New Ratio for FY 2017-18 Base



CHANGES BEHAVIORAL HEALTH COUNTY DISTRIBUTION RATIO

CSAC CALIFORNIA STATE ASSOCIATION OF COUNTIES

2011 Realignment Behavioral Health Base Set

FARRAH MCDADD TING | ELIZABETH MARSO LAIS
September 22, 2016

After nearly two years of work, the funding allocation percentages for each county's 2011 Realignment Behavioral Health Subaccount Base have been set. CSAC worked with the Department of Finance, Health and Human Services Agency, Department of Health Care Services, and the County Behavioral Health Directors Association to set this permanent base for 2011 Realignment Behavioral Health services.

The new base amount for each county is derived from a series of new factors, including Medi-Cal enrollment (based on a monthly average) and historical Managed Care allocations. This last factor proved to be a late-breaking problem, as all parties had originally agreed to use actual Managed Care claims from 2013-14. However, using claims data that originated from the year of the implementation of the Affordable Care Act proved to be an unreliable measure of a county's actual costs and would have resulted in significant losses for many counties. CSAC and CBHDA worked to communicate our concerns with these swings, and we are grateful to the Department of Finance for their decision to "stop the presses" last week on a base with wide swings based on managed care claims and to instead use each county's historical Managed Care allocation.

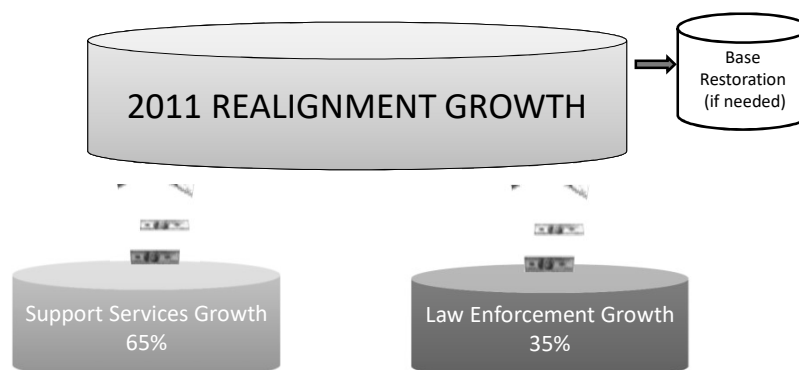
Despite the managed care factor fix, five counties would have still experienced a more than 15 percent decline in their allocation in the current year. The solution was to redistribute a small portion of the funding that is available after each county receives the full share of funding based on the methodology's factors. This is a one-time adjustment for those counties to mitigate loss of more than 15 percent in the current year. This augmentation will become part of each county's base moving forward.

The new base also incorporates the "rolling base" concept, whereby each county's 2016-17 base amount serves as the starting point and any growth revenue will be added to each county's total allocations received for that fiscal year. We are pleased that the new base is built upon allocations previously received by each county under the "temporary base" and that the base will incorporate base revenues plus growth revenues moving forward.

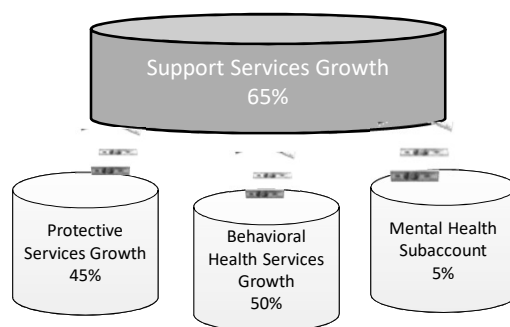
The new 2011 Realignment Behavioral Health base allocation percentages for each county are available on the CSAC Website.

 **BHRS Letters**

Growth Account State Structure



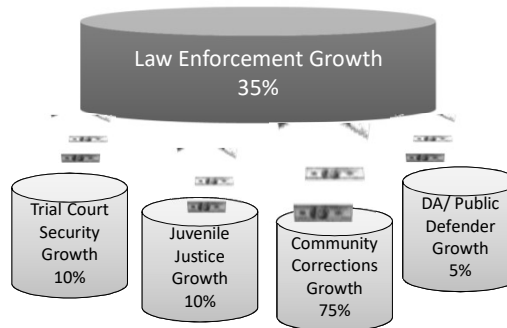
Support Services Growth Account State Structure



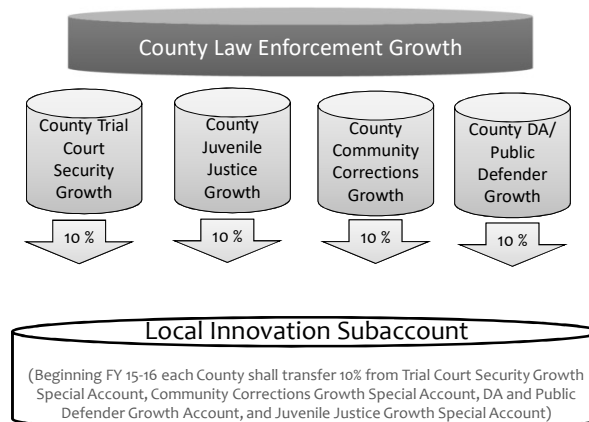
Behavioral Health Growth Allocation - Tentative

ALLOCATION METHODOLOGY	PERCENTAGE OF FUNDING A	TOTAL FUNDING (A*\$66,964,000) B
Percentage of Claims	50%	\$33,482,000
Enrollment Weighted by MEGS	25%	\$16,741,000
Enrollment Weighted by FMAP Groups	25%	\$16,741,000
TOTAL	100%	\$66,964,000

Law Enforcement Growth Account State Structure



Law Enforcement Growth Account County Structure



Agenda – Day 2

- Tools and Models
- Recap - Similarities & Differences
- Group Activity
- Trends
- The Real View
- Discussion & Wrap-up

2011 Realignment Forecasting Tool

For the Month of **JULY**

SUPPORT SERVICES ACCOUNT 64.5333%

Subaccount	Subaccount Distribution Statewide Per \$01000	% Of Distribution (Per \$01000)	State Receipts up to: JULY	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance	% To Total LIR
PROTECTIVE SERVICES	\$ 1,149,500,817	63.7177918%	\$ 2,001,955,404	1,287,593,884	157,865,241	0.11117000%
BEHAVIORAL HEALTH	\$ 1,230,254,560	56.13201849%	\$ 1,192,217,689	1,287,568,401	67,113,841	0.21316037%
PORT & RIVER AUTH.	\$ 3,104,000	0.14903426%	\$ 8,718,987	\$ 104,000	\$ 8,614,987	0.00097628%
TOTAL	\$ 1,482,859,377	100.00000000%	\$ 3,202,892,180	\$ 1,589,935,384	\$ 186,677,297	0.01331097%

LAW ENFORCEMENT SERVICES ACCOUNT 35.4667%

Subaccount	Subaccount Distribution Statewide Per \$01000	% Of Distribution	State Receipts up to: JULY	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance	% To Total LIR
TOTAL COURT SECURITY	\$ 339,747,277	28.81460359%	\$ 322,642,728	\$69,070,353	\$ 29,323,078	0.22229071%
COMMUNITY CORRECTIONS	\$ 1,161,614,864	62.07867029%	\$ 1,128,448,030	\$ 1,284,712,450	\$ 65,367,558	0.00157487%
SA & PUBLIC DEFENDER	\$ 27,847,688	1.49318549%	\$ 27,077,835	\$ 29,465,982	\$ 1,918,324	0.00259838%
ADVERSE JUSTICE	\$ 146,122,277	7.93400549%	\$ 137,487,538	\$48,543,414	\$ 7,211,134	0.00980211%
TOTAL	\$ 1,675,332,006	100.00000000%	\$ 1,615,656,091	\$ 1,392,302,449	\$ 265,676,978	0.01650710%

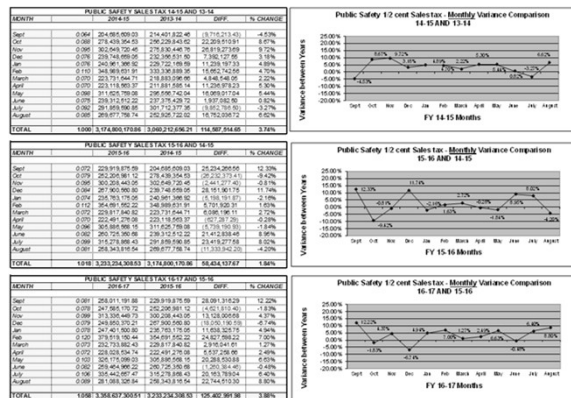
TOTAL LIR AND LAW ENFORCEMENT \$ 5,279,291,253

PROF 172 % TO DATE 0.91894032%

	PROF 172 %	Statewide Allocation per \$01000	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance
SEPTEMBER	0.07088149%	\$71,152,371	\$93,347,619	\$ 20,174,648
OCTOBER	0.070794275%	\$71,011,817	\$43,890,628	\$ 27,121,189
NOVEMBER	0.069759883%	\$69,704,880	\$21,591,028	\$ 48,113,852
DECEMBER	0.070833343%	\$70,874,340	\$10,844,340	\$ 60,030,000
JANUARY	0.074026230%	\$74,026,230	\$11,803,259	\$ 62,222,971
FEBRUARY	0.113024652%	\$113,024,652	\$22,628,962	\$ 90,395,690
MARCH	0.068603120%	\$68,603,120	\$38,058,038	\$ 30,545,082
APRIL	0.067789584%	\$67,789,584	\$77,092,139	\$ 9,302,555
MAY	0.068022055%	\$68,022,055	\$47,412,418	\$ 20,609,637
JUNE	0.070157246%	\$70,157,246	\$29,221,021	\$ 40,936,225
JULY	0.103024576%	\$103,024,576	\$67,000,140	\$ 36,024,436
AUGUST	0.080607078%	\$80,607,078	\$50,918,146	\$ 29,688,932
TOTAL	1.000000000%	\$,279,291,253	\$,542,938,535	\$ 263,647,282



Forecasting VLF & Sales Tax



1991 Realignment Caseload Growth Tools

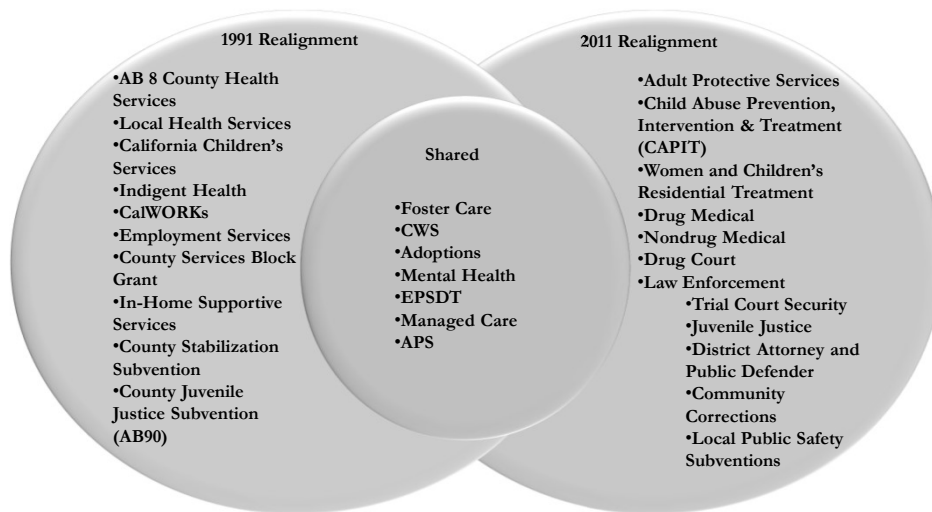
PROJECTED REALIGNMENT CASELOAD GROWTH SAN DIEGO											
Caseload Growth For	Fed Eligible CalWORKS Payments	Non-Fed Eligible CalWORKS Payments	CalWORKS Admin & CC-Share II	Foster Care Admin	CalFresh Admin	Foster Care Assistance	CMS	FFP	AMP	BHSS Swcs PCSP MOE	Positive Growth Only
FY 2014-15	\$ 192,110	\$ 11,904	\$ (861,100)	\$ (12,189)	\$ (1,182,805)	\$ (584,818)	\$ 79,834	\$ (112,925)	\$ 304,711	\$ 914,133	-
FY 2015-16	\$ 8,180	\$ 299	\$ (739,623)	\$ (35,073)	\$ (2,331,500)	\$ (91,469)	\$ 1,021,561	\$ (155,230)	\$ 74,493	\$ 2,098,517	-
FY 2017-18	\$ -	\$ -	\$ (299,935)	\$ (20,862)	\$ (1,017,879)	\$ (292,775)	\$ 1,192,372	\$ 158,068	\$ 155,830	\$ 1,701,842	\$ 1,578,861
FY 2017-18	\$ -	\$ -	\$ (188,959)	\$ (13,143)	\$ (558,723)	\$ -	\$ 751,194	\$ 28,452	\$ -	\$ 1,761,406	\$ 1,778,227
FY 2016-19	\$ -	\$ -	\$ (194,828)	\$ (13,537)	\$ (587,709)	\$ -	\$ 773,730	\$ 29,306	\$ -	\$ 1,823,056	\$ 1,830,218
FY 2019-20	\$ -	\$ -	\$ (200,467)	\$ (13,943)	\$ (617,095)	\$ -	\$ 796,942	\$ 30,185	\$ -	\$ 1,886,862	\$ 1,882,484



'91 Caseload Growth

[illegible] Demo

Intersection of Realignment Programs



Impact of 2011 Realignment to 1991 Sharing Ratios

Program	1991 Share (non-Fed)	New 2011 Share (non-Fed)
Foster Care	60%	100%
Child Welfare Services	30%	100%
Adoptions Assistance	25%	100%
Adoptions Eligibility	0%	100%
Adult Protective Services	MOE	100%
Child Abuse Prevention, Intervention, & Treatment (CAPIT)	16%	100%

New Sharing Ratios

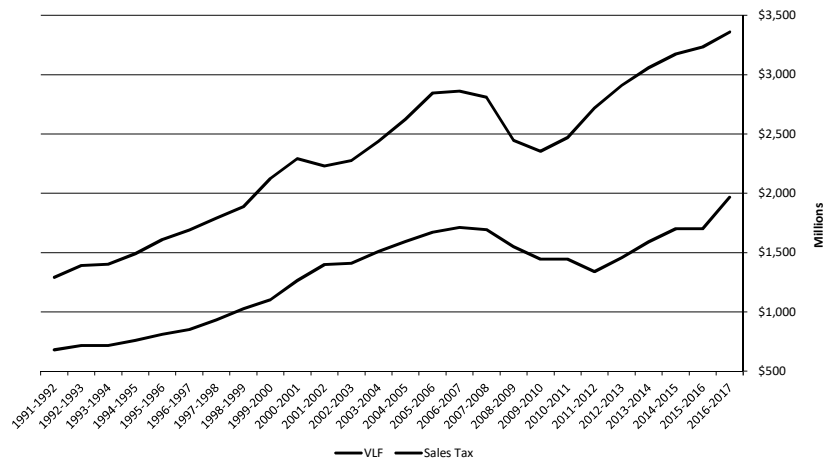
Similarities and Differences 1991 & 2011

- Base Restoration
- Programs
- Reserve Account
- VLF vs. Sales Tax
- Transfer Abilities
- Reporting Requirements
- Fed/Court Changes
- Flexibility
- Growth allocated
- County Intervention Services Account
- Fiscal Years
- Constitutional Protections

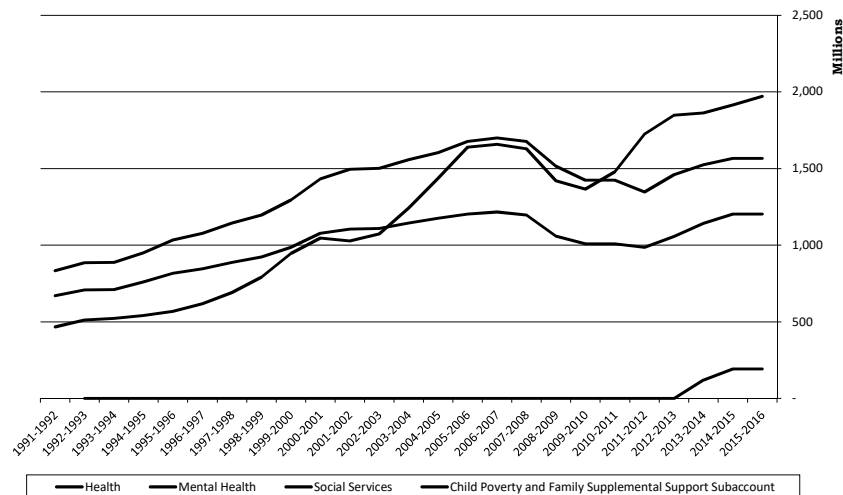
REALIGNMENT TRENDS



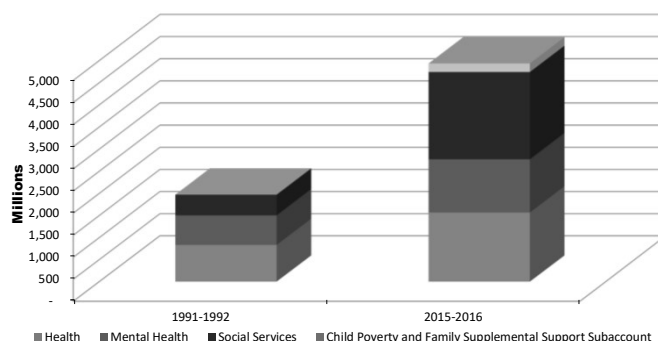
Sales Tax and VLF Collections By Fiscal Year



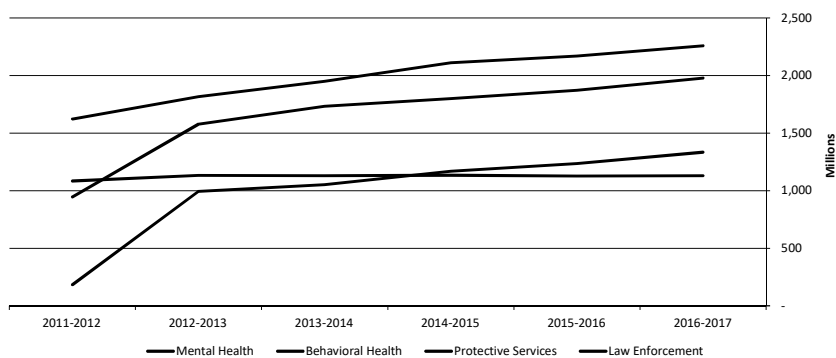
1991 Realignment Collections By Fiscal Year



1991 Realignment 1991 Base vs 2015 Base



2011 Realignment Sales Tax Collections By Fiscal Year



Flow of 1991 Realignment Theory and Reality

1991 REALIGNMENT (SALES TAX AND VOT)
SOCIAL SERVICES
FULL FUNDING ASSERTION

IN THEORY

- Each year State Controller's Office (SCO) publishes a base amount of realignment independent to its prior year amount of realignment, plus growth for counties, and possibly "general growth"
- The base amount plus the growth amount becomes the next year's "base amount"
- These funds flow annually and are available to cover the county share of the 1991 Social Services Realignment program

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15	TOTAL
Base	1,618,545,354	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,672,576,709	1,724,571,709	1,724,571,709	16,128,777,814
Growth (per year)	17,138,252			12,844,988	50,908,469	26,972,354	44,172,769	44,172,769	44,172,769	343,337
Growth (total)				12,844,988	50,908,469	26,972,354	44,172,769	44,172,769	44,172,769	16,128,777,814
Total	1,635,683,606	1,629,011,635	1,629,011,635	1,654,701,651	1,697,764,646	1,729,549,063	1,716,749,478	1,768,744,478	1,768,744,478	16,472,115,628

Notes: The "base" amount in FY 06/07 is \$1.6 billion; the actual base amount from SCO website
** Note: These are the actual statewide costed growth amounts, per 1991 and 2011

IN REALITY

- The flow of realignment revenue (sales tax and VOT) is based on the economy and not tied directly to costs
- Realignment has not worked over the past years and has forced counties to manage to available resources
- The state disavows that it can take over the years to replace needed costed growth
- Even when costed growth is paid, there is no "realignment" for the years it was owed but not paid

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15	TOTAL
Base	1,618,545,354	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,672,576,709	1,724,571,709	1,724,571,709	16,128,777,814
Growth for 06/07		17,138,252								17,138,252
Growth for 07/08										
Growth for 08/09										
Growth for 09/10										
Growth for 10/11										
Growth for 11/12										
Growth for 12/13										
Growth for 13/14										
Growth for 14/15										
Total	1,635,683,606	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,672,576,709	1,724,571,709	1,724,571,709	16,128,777,814

Base compared to report: 1,618,545,354 vs 1,629,011,635 (0.6% diff)
(1) The growth payments listed as received for FY 12/13, were actually paid 12/13/2013 (FY 12/13)
(2) The growth payments listed as received for FY 13/14, were actually paid 12/13/2014 (FY 13/14)
(3) The growth payments listed as received for FY 14/15, were actually paid 12/13/2015 (FY 14/15)

Model for Realignment Moving Forward

MODEL FOR REALIGNMENT MOVING FORWARD

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	Total
Base	1,618,545,354	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,724,571,709	16,128,777,814
Growth for 06/07		17,138,252						17,138,252
Growth for 07/08								
Growth for 08/09								
Growth for 09/10								
Growth for 10/11								
Growth for 11/12								
Growth for 12/13								
Growth (Total)								
Total	1,635,683,606	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,724,571,709	16,128,777,814
Base compared to PRIOR YEAR		(29,443,281)	(208,968,715)	(54,195,585)	111,895,158	146,837,200	123,113,709	
% of change from PRIOR YEAR		-1.78%	-12.83%	-3.32%	6.76%	8.90%	7.24%	

*Based on Theory vs Reality worksheet

QUICK LOOK MODEL

BASE YEAR: 2010-11

Percent of anticipated change in comparison to the 1991 realignment:

FISCAL YEAR	FY 2010-11	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	Total
PERCENT OF CHANGE		-1.78%	-12.83%	-3.32%	6.76%	8.90%	7.24%	
Base	1,635,683,606	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,724,571,709	16,128,777,814
Increase/Decrease per Year		(29,443,281)	(208,968,715)	(54,195,585)	111,895,158	146,837,200	123,113,709	
Costed Growth (100%)								
Growth								
TOTAL	1,635,683,606	1,629,011,635	1,629,011,635	1,641,856,663	1,646,851,177	1,672,576,709	1,724,571,709	16,128,777,814



1991 Realignment Principles

- Historically, Realignment was founded on the following principles
 - That there would be sufficient revenue allocated to cover costs transferred to the counties, including growth over the years
 - There would be stability in the funding streams
 - And counties would be given discretion in administering the programs to find costs savings, improved efficiencies, etc. to ensure they could manage the program within the available realignment allocated for the program

90

What is 1991 Realignment

- Realignment Workshop – October 23-24, 1991, sponsored by CSAC
- Preamble “...Realignment or program transfers must be accompanied by stable and growing revenue sources to fund growing programs”

91

What is 1991 Realignment (Continued)

- Principles and Conditions:
 - “...the revenue provided for program transfers must have a growth rate equal to or greater than the anticipated growth in the transferred program. If the revenue growth is uncertain, there should be a trigger mechanism to ensure that program expenditure growth does not exceed available designated revenues.”

92

What is 1991 Realignment (Continued)

- Principles and Conditions (continued):
 - “...Counties must have the flexibility to manage the programs within the revenue base made available.”
 - “There should be protection against the state being able to add requirements in future years, without adequate funds, to those programs which have been transferred.”

93

What is 1991 Realignment (Continued)

- 1991-92 Governor’s Revised Budget report – “Realign State and Local Government Program responsibilities”
 - “This proposal is designed to integrate state and county administrative and financial responsibilities in order to promote accountability and administrative efficiency and provide counties with sufficient local revenues and administrative discretion to support appropriate service levels...”
- LAO Report “1992-93 Budget Perspective and Issues”
 - “The administration’s rationale for its proposal to transfer program responsibility (*realignment revenue and services*) included the following.... “The specific funding sources would provide a stable and growing revenue base to support the programs over the long term”

94

What is 1991 Realignment (Continued)

- LAO, 02/06/2001 "Realignment Revisited: An Evaluation of the 1991 Experiment In State-County Relations"
 - "In 1991, Realignment transferred more than \$1.7 billion in state program costs to counties, accompanied by an equivalent amount of realignment revenues."
 - "The intent of realignment was to provide greater funding stability for selected health, mental health and social services programs."
 - "...the Legislature aimed to both control costs and encourage counties to provide appropriate levels of service."
- "Prior to realignment...the state paid virtually all of the nonfederal costs....Under these sharing ratios, counties therefore had little incentive to seek the most cost-effective alternatives within these care systems."

95

Integrated & Comprehensive County Health & Human Services System

- Recognized integrated counties can reallocate money between Protective Services and Behavioral Health Services subaccounts
- Currently not available to all counties
- Opportunity
- Gov't. code: 30025 (f)(4)(E)

Contact Information

➤ PRESENTERS

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- **Andrew Pease**, Finance Director, County of San
Diego, Health & Human Services Agency; (619) 515-
6548; andrew.pease@sdcounty.ca.gov

Realignment Resource List

Reference	Description	Link
State Controller's Office	Lists of local apportionments for 1991 and 2011 Realignment.	http://www.sco.ca.gov/ard_local_apportionments.html
2011 Realignment		
Support Services Account		
2011 Protective Services Realignment	Provides monthly apportionment of 2011 Protective Services Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_protectiveservices.html
2011 Behavioral Health Realignment	Provides monthly apportionment of 2011 Behavioral Health Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_behavioralhealth.html
2011 Women and Children's Residential Treatment Realignment	Provides monthly apportionment of 2011 Women and Children's Residential Treatment Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_womenandchildrenresidentialtreatment.html
Law Enforcement Services Account		
Community Corrections (Local Community Corrections)	Provides monthly apportionment of 2011 Community Corrections Subaccount (Local Community Corrections) to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_local_community.html
District Attorney and Public Defender Enhancing Law Enforcement Activities Subaccount	Provides monthly apportionment of 2011 District Attorney and Public Defender to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_daandpubdef.html
Booking and Processing Fees Apportionment	Provides monthly apportionment of 2011 Booking and Processing Fees Apportionment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_booking.html
Citizens' Option for Public Safety (COPS) Program and Multi-Agency Juvenile Justice Funds	Provides monthly apportionment of 2011 Citizens' Option for Public Safety (COPS) Program and Multi-Agency Juvenile Justice Funds to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_cops.html
Rural and Small County Law Enforcement	Provides monthly apportionment of 2011 Rural and Small County Law Enforcement to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_rural.html
California Emergency Management Agency	Provides monthly apportionment of 2011 California Emergency Management Agency to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_ca_emerg_man.html
Juvenile Probation Activities	Provides monthly apportionment of 2011 Juvenile Probation Activities to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_juv_prob.html
Juvenile Probation Camp Funding	Provides monthly apportionment of 2011 Juvenile Probation Camp Funding to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_juvenileprobationcampfunding.html
Juvenile Justice Subaccount		
Youthful Offender Block Grant Special Account	Provides monthly apportionment of 2011 Youthful Offender Block Grant Special Account to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_youth.html
Juvenile Reentry Grant Special Account	Provides monthly apportionment of 2011 Juvenile Reentry Grant Special Account to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_youth_reentry.html
Trial Court Security Subaccount	Provides monthly apportionment of 2011 Trial Court Security Subaccount to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_trialcourtsecurity.html
Sales and Use Tax Growth Account		
Protective Services Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_protectiveservicesgrowth.html
Behavioral Health Services Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_behavioralhealthgrowth.html
Mental Health Subaccount	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_mentalhealthsubaccountgrowth.html
Trial Court Security Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_trialcourtsecuritygrowth.html
Community Corrections Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_communitygrowth.html
District Attorney and Public Defender Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_dapdgsa.html
Juvenile Justice Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_juvenilejusticegrowth.html
Government Code Section 30025-30029.12	Government Code for 2011 Realignment.	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=30001-31000&file=30025-30029.12
2011 Realignment Trailer Bill - SB 1020 Behavioral Health Subaccount	Trailer bill provides an overall financing structure of 2011 Realignment.	http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201120120SB1020&search_keywords=
MHSD Information Notice No. 12-08	All County Letter regarding the Local Behavioral Health Subaccount allocations for FY 2012-13.	http://www.dhcs.ca.gov/formsandpubs/MHCCY/InfoNotice12-08.pdf
MHSUDS Information Notice No. 14-016	All County Letter regarding the Local Behavioral Health Subaccount allocations for FY 2013-14.	http://www.dhcs.ca.gov/formsandpubs/Documents/14_016_MHSUDS_Info_Notice.pdf
MHSUDS Information Notice No. 14-017	All County Letter regarding the Local Behavioral Health Growth Special Account allocations for FY 2013-14.	http://www.dhcs.ca.gov/formsandpubs/Documents/14_016_MHSUDS_Info_Notice.pdf
MHSUDS Information Notice No. 16-015	FY 2016-17 Behavioral Health Subaccount Allocations	http://www.dhcs.ca.gov/services/MH/Documents/InfoNotice_16-015_FY2016-17BHSUBaccountAllocations.pdf
MHSUDS Information Notice No. 16-041	FY 2016-17 Behavioral Health Subaccount Allocations	http://www.dhcs.ca.gov/services/MH/Documents/MHSUDS16-041_FY2016-17BHSUBaccount.pdf
County Fiscal Letter 12/13-16	Protective Services Subaccount percentage calculation by county.	http://www.dss.cahwnet.gov/lettersnotices/entres/getinfo/cfi/2012-13/12_13-16.pdf
County Fiscal Letter 11/12-18	Health and Human Services Account percentage calculation for FY 2011-12 by county.	http://www.dss.cahwnet.gov/lettersnotices/entres/getinfo/cfi/2011-12/11-12_18.pdf
LAO Report on 2011 Realignment	Report published in May 2012 evaluating 2011 Realignment.	http://www.lao.ca.gov/handouts/socservices/2012/Evaluating_Realignment_Superstructure_5_25_12.pdf

Realignment Resource List

Reference	Description	Link
1991 Realignment		
1991 Realignment	Provides monthly apportionment of 1991 Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_realign.html
1991 Realignment Statutes	California Welfare and Institution Codes for 1991 Realignment	
WIC Section 17600 - 17600.60	Funding Allocations	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17600.&lawCode=WIC
WIC Section 17601 - 17601.20	Mental Health Allocations	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17601.&lawCode=WIC
WIC Section 17602 - 17602.1	Social Services Allocations	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17602.&lawCode=WIC
WIC Section 17603 - 17603.05	Health Allocations	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17603.&lawCode=WIC
WIC Section 17604 - 17604.05	Vehicle License Fee Allocations	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17604.&lawCode=WIC
WIC Section 17605 - 17605.10	Growth Account Allocations--Deposits	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17605.&lawCode=WIC
WIC Section 17606.10 - 17606.20	Allocation of Funds from the Sales Tax Growth Account	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=7.
WIC Section 17608.05 - 17608.15	County Matching Fund Requirements	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=9.
WIC Section 17609 - 17609.10	Expenditure Limitations and Reports	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=10.
LAO Report on 1991 Realignment	Report published in Feb 2001 evaluating 1991 Realignment.	http://www.lao.ca.gov/laoapp/PubDetails.aspx?id=755
CWDA 1991 Realignment Growth Desk guide	Tool to assist counties in the realignment caseload growth reconciliation process.	http://www.cwda.org/general-information/realignment-caseload-
Miscellaneous (Sales Tax and VLF info)		
AB 85	1991 Realignment/CalWORKs trailer bill. This bill also implements a mechanism for counties to share savings, which result from implementation of the federal Affordable Care Act (ACA), with the state.	http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_85_bill_20130627_chaptered.pdf
AB 104	Bill with clean-up language for AB 85.	http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201320140AB104&search_keywords=
Prop 172	Provides monthly apportionment of Prop 172 (Half Percent Sales Tax for Public Safety) to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_pubsafe.html
Monthly Statements of General Fund Cash Receipts and Disbursements	Statement reflecting California's General Fund cash position and compares actual receipts and disbursements for the current fiscal year to cash flow estimates prepared by Department of Finance during the budget process.	http://www.sco.ca.gov/ard_state_cash.html
CSAC	Link to training materials.	http://www.csac.counties.org/post/realignment-301-where-funds-flow
CSAC	Link to training materials.	http://www.csac.counties.org/knowledge-center

Description of Major Features

Table 7			
Components of State and Local Program Realignment			
(in millions)			
Transferred Programs			COSTS SHIFTED TO COUNTIES
Mental Health			\$750
• Community-based Mental Health Programs ^a			452
• State Hospital Services for County Patients			210
• Institutions for Mental Diseases (IMDs)			88
Public Health			\$506
• AB 8 County Health Services			503
• Local Health Services (LHS)			3
Indigent Health			\$435
• Medically Indigent Services Program (MISP) ^b			348
• County Medical Services Program (CMSP)			87
Local Block Grants			\$52
• County Stabilization Subventions			15
• County Juvenile Justice Subventions			37
County Cost-Sharing Ratio Changes		STATE/COUNTY SHARES OF NONFEDERAL ^c PROGRAM COSTS	COSTS SHIFTED TO COUNTIES
		PRIOR LAW	REALIGNMENT
Health			
• California Childrens' Services		75 / 25	50 / 50
			\$30
Social Services			\$411
• AFDC - Foster Care (AFDC-FC) ^d		95 / 5	40 / 60
• Child Welfare Services (CWS) ^e		76 / 24	70 / 30
			42
• In-Home Supportive Services (IHSS) ^{e,f}		97 / 3	65 / 35
• County Services Block Grant (CSBG) ^e		84 / 16	70 / 30
• Adoption Assistance Program		100 / 0	75 / 25
			12
• Greater Avenues for Independence (GAIN) Program		100 / 0	70 / 30
			26
• AFDC - Family Group and Unemployed Parent (AFDC FG & U)		89 / 11	95 / 5
			-155
• County Administration (AFDC-FC, FG, U, foodstamps)		50 / 50	70 / 30
			-95
Net Additional County Expenditures			\$2,212
Additional Revenues to Counties			PROJECTED 1991-92
• State Sales Tax			\$1,422
• Vehicle License Fee (VLF)			769
			\$2,191
^a Includes \$3.7 million for mental health assessments and treatment for court wards and dependents, as provided for by Ch 1294/89 (SB 370, Presley).			
^b A portion of expenditures for the MISP reflects the earmarking of \$116 million in revenues to replace funding that is anticipated to be lost in 1992-93 due to the expiration of funding under the federal Immigration Reform and Control Act (IRCA). (continued, next page)			

1991 HEALTH REALIGNMENT MAINTENANCE OF EFFORT CHART

FISCAL YEAR 2006-07 ESTIMATED MAINTENANCE OF EFFORT (MOE) CALCULATION ADJUSTED FOR GROWTH (SB 681, CHAPTER 6, STATUTES OF 1996)

COUNTY	A FY 2006-07 ESTIMATED SALES TAX INC. GRWTH (1)	B FY 2006-07 ESTIMATED VLF INC. GRWTH (1)	C FY 2006-07 COMB. ST & VLF INC. GROWTH (A+B)	D AB 8 COUNTY MATCH	E FY 2006-07 ESTIMATED MOE (C+D)
ALAMEDA	\$15,577,430	\$47,242,476	\$62,819,906	\$20,545,579	\$83,365,485
Alpine	\$42,168	\$128,773	170,941	21,465	192,406
Amador	\$524,486	\$1,650,519	2,175,005	278,460	2,453,465
Butte	\$3,518,594	\$10,566,778	14,085,372	724,304	14,809,676
Calaveras	\$536,121	\$1,685,596	2,221,717	0	2,221,717
Colusa	\$419,827	\$1,333,923	1,753,750	237,754	1,991,504
CONTRA COSTA	\$8,013,659	\$23,983,923	31,997,582	10,114,331	42,111,913
Del Norte	\$500,603	\$1,583,633	2,084,236	44,324	2,128,560
El Dorado	\$1,944,652	\$6,110,827	8,055,479	704,192	8,759,671
FRESNO	\$10,140,067	\$29,285,880	39,425,947	10,404,113	49,830,060
Glenn	\$481,357	\$1,517,847	1,999,204	58,501	2,057,705
Humboldt	\$3,386,620	\$10,604,638	13,991,258	589,711	14,580,969
Imperial	\$3,461,365	\$10,522,732	13,984,097	772,088	14,756,185
Inyo	\$652,651	\$2,063,037	2,715,688	561,262	3,276,950
KERN	\$6,858,637	\$19,948,057	26,806,694	7,623,407	34,430,101
Kings	\$1,756,180	\$5,258,405	7,014,585	466,273	7,480,858
Lake	\$787,557	\$2,321,607	3,109,164	118,222	3,227,386
Lassen	\$528,632	\$1,666,345	2,194,977	119,938	2,314,915
LOS ANGELES	\$123,653,945	\$378,330,382	501,984,327	159,324,707	661,309,034
Madera	\$1,750,526	\$5,176,197	6,926,723	81,788	7,008,511
Marin	\$3,838,851	\$12,232,083	16,070,934	1,196,515	17,267,449
Mariposa	\$280,689	\$885,129	1,165,818	0	1,165,818
Mendocino	\$1,124,423	\$3,349,820	4,474,243	347,945	4,822,188
MERCED	\$2,512,748	\$6,600,072	9,112,820	858,484	9,971,304
Modoc	\$311,699	\$976,716	1,288,415	70,462	1,358,877
Mono	\$451,806	\$1,406,169	1,857,975	409,928	2,267,903
MONTEREY	\$3,234,341	\$9,721,280	12,955,621	3,367,970	16,323,591
Napa	\$1,647,256	\$5,163,976	6,811,232	546,957	7,358,189
Nevada	\$1,061,948	\$3,278,014	4,339,962	96,375	4,436,337
ORANGE	\$25,733,647	\$63,485,916	89,219,563	15,727,317	104,946,880
PLACER	\$1,518,159	\$4,130,955	5,649,114	368,490	6,017,604
Plumas	\$445,224	\$1,385,087	1,830,311	66,295	1,896,606
RIVERSIDE	\$13,110,886	\$37,247,743	50,358,629	7,365,244	57,723,873
SACRAMENTO	\$13,736,180	\$38,561,327	52,297,507	7,128,508	59,426,015
San Benito	\$625,832	\$1,985,726	2,611,558	0	2,611,558
SAN BERNARDINO	\$15,951,557	\$41,337,330	57,288,887	4,316,679	61,605,566
SAN DIEGO	\$31,180,242	\$70,519,489	101,699,731	4,403,290	106,103,021
SAN FRANCISCO	\$23,589,649	\$72,155,240	95,744,889	39,363,076	135,107,965
SAN JOAQUIN	\$6,100,507	\$16,276,675	22,377,182	2,469,934	24,847,116
SAN LUIS OBISPO	\$1,829,204	\$5,425,168	7,254,372	1,359,837	8,614,209
SAN MATEO	\$5,564,823	\$16,742,447	22,307,270	6,786,043	29,093,313
SANTA BARBARA	\$3,340,580	\$10,001,151	13,341,731	3,794,166	17,135,897
SANTA CLARA	\$13,513,755	\$40,251,115	53,764,870	13,203,375	66,968,245
SANTA CRUZ	\$2,217,218	\$6,784,037	9,001,255	2,053,729	11,054,984
Shasta	\$3,035,948	\$9,047,007	12,082,955	184,049	12,267,004
Sierra	\$105,693	\$324,225	429,918	7,330	437,248
Siskiyou	\$819,753	\$2,564,554	3,384,307	287,627	3,671,934
Solano	\$4,393,978	\$12,923,285	17,317,263	115,800	17,433,063
Sonoma	\$6,814,753	\$20,830,517	27,645,270	438,234	28,083,504
STANISLAUS	\$4,658,356	\$13,239,666	17,898,022	3,510,803	21,408,825
Sutter	\$1,585,346	\$5,048,472	6,633,818	674,240	7,308,058
Tehama	\$1,078,578	\$3,404,346	4,482,924	446,992	4,929,916
Trinity	\$463,352	\$1,448,465	1,911,817	292,662	2,204,479
TULARE	\$4,472,546	\$11,780,961	16,253,507	1,547,481	17,800,988
Tuolumne	\$829,895	\$2,638,271	3,468,166	305,830	3,773,996
VENTURA	\$5,316,030	\$15,632,485	20,948,515	4,185,070	25,133,585
YOLO	\$1,475,409	\$4,301,063	5,776,472	1,081,388	6,857,860
Yuba	\$1,393,796	\$4,118,935	5,512,731	187,701	5,700,432
TOTAL	\$393,899,734	\$1,138,186,492	\$1,532,086,226	\$341,356,245	\$1,873,442,471

(1) Estimated Sales Tax and Vehicle License fee for FY 2006-07 are based on actual FY 2004-05 figures inflated by 2% per fiscal year.

DEPARTMENT OF MENTAL HEALTH
LOCAL REALIGNMENT MAINTENANCE OF EFFORT (MOE) FUNDS

Fiscal Years 1991-92 to date

1/27/2000

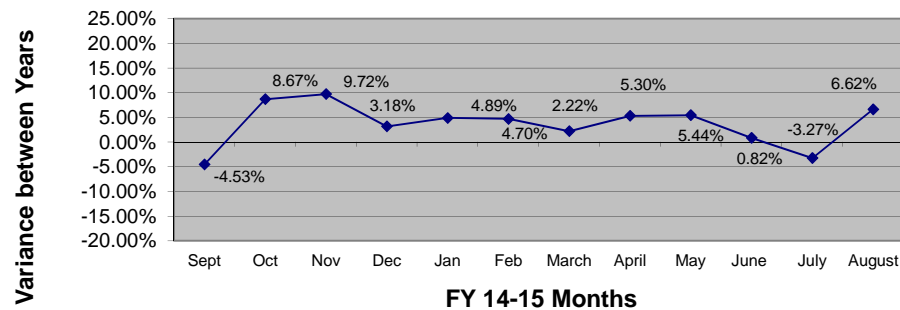
	FY 1991-92	FY 1992-93	FY 1993-94*	FY 1994-95*	FY 1995-96	FY 1996-97**
Statewide	\$73,614,903	\$73,614,903	\$58,614,904	\$58,614,904	\$73,614,903	\$48,614,903
Alameda	4,673,729	4,673,729	3,721,396	3,721,396	4,673,729	3,086,507
Alpine	0	0	0	0	0	0
Amador	0	0	0	0	0	0
Butte	410,883	410,883	327,160	327,160	410,883	271,345
Calaveras	10,886	10,886	8,668	8,668	10,886	7,189
Colusa	12,174	12,174	9,693	9,693	12,174	8,040
Contra Costa	1,636,558	1,636,558	1,303,088	1,303,088	1,636,558	1,080,774
Del Norte	18,899	18,899	15,048	15,048	18,899	12,481
El Dorado	25,000	25,000	19,906	19,906	25,000	16,510
Fresno	1,447,072	1,447,072	1,152,212	1,152,212	1,447,072	955,639
Glenn	15,361	15,361	12,231	12,231	15,361	10,144
Humboldt	66,329	66,329	52,814	52,814	66,329	43,803
Imperial	28,364	28,364	22,584	22,584	28,364	18,731
Inyo	36,125	36,125	28,764	28,764	36,125	23,857
Kern	1,127,912	1,127,912	898,085	898,085	1,127,912	744,867
Kings	52,318	52,318	41,658	41,658	52,318	34,551
Lake	61,112	61,112	48,660	48,660	61,112	40,358
Lassen	0	0	0	0	0	0
Los Angeles	24,936,330	24,936,330	19,855,227	19,855,227	24,936,330	16,467,826
Madera	12,764	12,764	10,163	10,163	12,764	8,429
Marin	801,771	801,771	638,400	638,400	801,771	529,485
Mariposa	5,025	5,025	4,001	4,001	5,025	3,318
Mendocino	43,671	43,671	34,772	34,772	43,671	28,840
Merced	404,169	404,169	321,814	321,814	404,169	266,911
Modoc	0	0	0	0	0	0
Mono	10,826	10,826	8,620	8,620	10,826	7,149
Monterey	806,605	806,605	642,249	642,249	806,605	532,678
Napa	191,272	191,272	152,298	152,298	191,272	126,315
Nevada	46,780	46,780	37,248	37,248	46,780	30,893
Orange	5,203,347	5,203,347	4,143,097	4,143,097	5,203,347	3,436,264
Placer	351,244	351,244	279,673	279,673	351,244	231,960
Plumas	11,618	11,618	9,251	9,251	11,618	7,672
Riverside	2,291,355	2,291,355	1,824,461	1,824,461	2,291,355	1,513,199
Sacramento	2,666,818	2,666,818	2,123,419	2,123,419	2,666,818	1,761,153
San Benito	44,730	44,730	35,616	35,616	44,730	29,539
San Bernardino	2,790,381	2,790,381	2,221,804	2,221,804	2,790,381	1,842,753
San Diego	4,805,140	4,805,140	3,826,030	3,826,030	4,805,140	3,173,290
San Francisco	4,161,222	4,161,222	3,313,319	3,313,319	4,161,222	2,748,050
San Joaquin	1,610,757	1,610,757	1,282,544	1,282,544	1,610,757	1,063,736
San Luis Obispo	507,924	507,924	404,428	404,428	507,924	335,430
San Mateo	2,237,308	2,237,308	1,781,427	1,781,427	2,237,308	1,477,507
Santa Barbara	975,242	975,242	776,524	776,524	975,242	644,045
Santa Clara	2,349,584	2,349,584	1,870,826	1,870,826	2,349,584	1,551,653
Santa Cruz	497,716	497,716	396,300	396,300	497,716	328,689
Shasta	403,968	403,968	321,654	321,654	403,968	266,778
Sierra	0	0	0	0	0	0
Siskiyou	11,208	11,208	8,924	8,924	11,208	7,402
Solano	1,134,194	1,134,194	903,087	903,087	1,134,194	749,016
Sonoma	848,359	848,359	675,495	675,495	848,359	560,252
Stanislaus	979,992	979,992	780,306	780,306	979,992	647,182
Sutter/Yuba	34,530	34,530	27,494	27,494	34,530	22,803
Tehama	39,290	39,290	31,284	31,284	39,290	25,947
Trinity	8,970	8,970	7,142	7,142	8,970	5,924
Tulare	505,943	505,943	402,850	402,850	505,943	334,122
Tuolumne	30,348	30,348	24,164	24,164	30,348	20,042
Ventura	1,555,329	1,555,329	1,238,410	1,238,410	1,555,329	1,027,131
Yolo	571,424	571,424	454,989	454,989	571,424	377,365
Berkeley City	0	0	0	0	0	0
Tri-City	105,027	105,027	83,626	83,626	105,027	69,359

* Chapter 64, Statutes of 1993 (SB 627) authorized a \$15 million statewide reduction of MOE funds for FY 1993-94 and FY 1994-95.

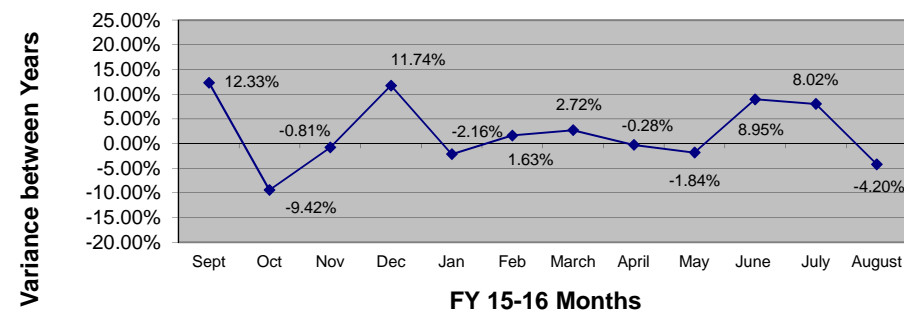
** Chapter 6, Statutes of 1996 (SB 681) authorized a permanent \$25 million statewide reduction of MOE funds.

PUBLIC SAFETY SALES TAX 14-15 AND 13-14

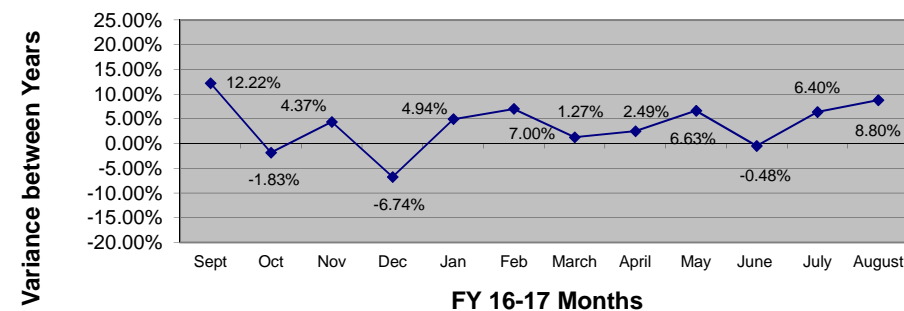
MONTH		2014-15	2013-14	DIFF.	% CHANGE
Sept	0.064	204,685,609.03	214,401,822.46	(9,716,213.43)	-4.53%
Oct	0.088	278,439,354.53	256,229,843.62	22,209,510.91	8.67%
Nov	0.095	302,649,720.45	275,830,446.76	26,819,273.69	9.72%
Dec	0.076	239,748,659.05	232,356,531.50	7,392,127.55	3.18%
Jan	0.076	240,961,366.92	229,722,169.59	11,239,197.33	4.89%
Feb	0.110	348,989,631.91	333,336,889.35	15,652,742.56	4.70%
March	0.070	223,731,644.71	218,883,096.66	4,848,548.05	2.22%
April	0.070	223,118,563.37	211,881,585.14	11,236,978.23	5.30%
May	0.098	311,625,759.08	295,556,742.04	16,069,017.04	5.44%
June	0.075	239,312,512.22	237,375,429.72	1,937,082.50	0.82%
July	0.092	291,859,590.85	301,712,377.35	(9,852,786.50)	-3.27%
August	0.085	269,677,758.74	252,925,722.02	16,752,036.72	6.62%
TOTAL	1.000	3,174,800,170.86	3,060,212,656.21	114,587,514.65	3.74%

Public Safety 1/2 cent Sales tax - Monthly Variance Comparison 14-15 AND 13-14

PUBLIC SAFETY SALES TAX 15-16 AND 14-15

MONTH		2015-16	2014-15	DIFF.	% CHANGE
Sept	0.071	229,919,875.59	204,685,609.03	25,234,266.56	12.33%
Oct	0.078	252,206,981.12	278,439,354.53	(26,232,373.41)	-9.42%
Nov	0.093	300,208,443.05	302,649,720.45	(2,441,277.40)	-0.81%
Dec	0.083	267,900,560.80	239,748,659.05	28,151,901.75	11.74%
Jan	0.073	235,763,175.05	240,961,366.92	(5,198,191.87)	-2.16%
Feb	0.110	354,691,552.22	348,989,631.91	5,701,920.31	1.63%
March	0.071	229,817,840.82	223,731,644.71	6,086,196.11	2.72%
April	0.069	222,491,276.08	223,118,563.37	(627,287.29)	-0.28%
May	0.095	305,886,568.15	311,625,759.08	(5,739,190.93)	-1.84%
June	0.081	260,725,350.68	239,312,512.22	21,412,838.46	8.95%
July	0.098	315,278,868.43	291,859,590.85	23,419,277.58	8.02%
August	0.080	258,343,816.54	269,677,758.74	(11,333,942.20)	-4.20%
TOTAL	1.000	3,233,234,308.53	3,174,800,170.86	58,434,137.67	1.84%

Public Safety 1/2 cent Sales tax - Monthly Variance Comparison 15-16 AND 14-15

PUBLIC SAFETY SALES TAX 16-17 AND 15-16

MONTH		2016-17	2015-16	DIFF.	% CHANGE
Sept	0.077	258,011,191.88	229,919,875.59	28,091,316.29	12.22%
Oct	0.074	247,585,170.72	252,206,981.12	(4,621,810.40)	-1.83%
Nov	0.093	313,336,449.73	300,208,443.05	13,128,006.68	4.37%
Dec	0.074	249,850,370.21	267,900,560.80	(18,050,190.59)	-6.74%
Jan	0.074	247,401,500.80	235,763,175.05	11,638,325.75	4.94%
Feb	0.113	379,519,150.44	354,691,552.22	24,827,598.22	7.00%
March	0.069	232,733,882.43	229,817,840.82	2,916,041.61	1.27%
April	0.068	228,028,534.74	222,491,276.08	5,537,258.66	2.49%
May	0.097	326,175,099.03	305,886,568.15	20,288,530.88	6.63%
June	0.077	259,464,966.22	260,725,350.68	(1,260,384.46)	-0.48%
July	0.100	335,442,657.47	315,278,868.43	20,163,789.04	6.40%
August	0.084	281,088,326.84	258,343,816.54	22,744,510.30	8.80%
TOTAL	1.000	3,358,637,300.51	3,233,234,308.53	125,402,991.98	3.88%

Public Safety 1/2 cent Sales tax - Monthly Variance Comparison 16-17 AND 15-16


from the SCO website: http://www.sco.ca.gov/ard_payments_pubsafe.html

Prop 172 is a 1/2 cent sales tax flows monthly just like Realignment, with one exception; it flows each month based on actual State sales tax remittances and does not stop once "base" is reached.

TOTAL STATE REALIGNMENT/CMSP - ALL PROGRAMS FY 16-17 & 15-16

		% of Year Complete Tax	100.0%	
SALES TAX		% of Year Complete VLF	100.0%	Aug-17
STATE TOTAL				
MONTH	2016-17	2015-16	DIFF.	% CHANGE
September	204,920,899.43	233,995,385.19	(29,074,485.76)	-12.43%
October	305,423,634.76	251,317,828.40	54,105,806.36	21.53%
November	313,316,492.88	300,207,800.45	13,108,692.43	4.37%
December	249,980,533.09	267,914,684.51	(17,934,151.42)	-6.69%
January	247,440,346.92	235,767,675.12	11,672,671.80	4.95%
February	379,657,301.66	354,712,315.67	24,944,985.99	7.03%
March	232,730,403.29	229,820,598.33	2,909,804.96	1.27%
April	228,210,854.86	222,874,950.40	5,335,904.46	2.39%
May	326,174,346.99	305,884,538.70	20,289,808.29	6.63%
June	259,465,820.88	260,726,958.45	(1,261,137.57)	-0.48%
July	334,871,422.65	314,988,934.10	19,882,488.55	6.31%
August	150,596,346.38	197,188,404.35	(46,592,057.97)	-23.63%
growth acct	127,215,519.47	57,388,329.97	69,827,189.50	121.67%
TOTAL	3,360,003,923.26	3,232,788,403.64	127,215,519.62	3.94%

VLF (excludes VLF collections)

STATE TOTAL				
MONTH	2016-17	2015-16	DIFF.	% CHANGE
August	170,204,439.03	147,670,678.36	22,533,760.67	15.26%
September	214,896,085.27	190,527,924.64	24,368,160.63	12.79%
October	161,144,971.05	154,652,337.23	6,492,633.82	4.20%
November	148,896,202.22	134,945,655.38	13,950,546.84	10.34%
December	163,155,888.28	149,410,068.24	13,745,820.04	9.20%
January	151,398,735.38	148,082,476.18	3,316,259.20	2.24%
February	176,078,707.93	140,280,414.18	35,798,293.75	25.52%
March	163,354,631.32	157,763,391.13	5,591,240.19	3.54%
April	172,256,333.43	182,542,844.06	(10,286,510.63)	-5.64%
May	162,112,753.21	146,492,196.47	15,620,556.74	10.66%
June	162,668,628.30	149,361,595.81	13,307,032.49	8.91%
July				
growth acct	119,569,435.88	144,439,793.74	(24,870,357.86)	-17.22%
TOTAL	1,965,736,811.30	1,846,169,375.42	119,567,435.88	6.48%

1991 REALIGNMENT (SALES TAX AND VLF)
SOCIAL SERVICES
FULL FUNDING ASSERTION

11/13/2015

IN THEORY

- * Each year State Controller's Office (SCO) publishes a base amount of realignment (equivalent to its prior year amount of realignment), plus growth for caseload, and possibly "general growth"
- * The base amount plus the growth amount becomes the next year's "Base amount"
- * These funds flow annually and are available to cover the county share of the 1991 Social Services Realignment programs

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15	Total
Base *	1,638,646,354	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	2,144,233,987	2,161,903,711	17,462,201,964
growth (caseload)**	91,543,337	105,389,452	104,972,554	44,172,769	86,824	40,727,854	102,275,815	17,669,724	51,544,437	558,382,766
growth (general)	2,670,413						13,748,615			16,419,028
Total	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	2,144,233,987	2,161,903,711	2,213,448,148	18,037,003,758

* note: The "base" amount in FY 06/07 (\$1.6 billion) is the actual base amount from SCO website

** note: These are the actual statewide caseload growth amounts, per CDSS and SCO

IN REALITY

- * The flow of realignment revenue (sales tax and VLF) is based on the economy and not tied directly to costs
- * Realignment has not worked over the past years and has forced counties to manage to available resources
- * The data demonstrates that it can take over five years to receive owed caseload growth
- * Even when caseload growth is paid, there is no "re-payment" for the years it was owed but not paid

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11 ^(a)	FY 11/12 ^(b)	FY 12/13 ^(c)	FY 13/14 ^(d)	FY 14/15 ^(e)	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,703	1,847,689,432	1,863,010,892	14,330,478,137
growth for 06/07	17,138,152				74,405,185					91,543,337
growth for 07/08					39,480,983	65,908,469				105,389,452
growth for 08/09						104,972,554				104,972,554
growth for 09/10						44,172,769				44,172,769
growth for 10/11						86,824				86,824
growth for 11/12						33,638,555	7,089,299			40,727,854
growth for 12/13							102,275,815			102,275,815
growth for 13/14								17,669,724		
growth for 14/15									51,544,437	
growth (general)	2,670,413						13,748,615			16,419,028
Total	1,658,454,920	1,629,011,635	1,420,042,920	1,365,852,335	1,479,738,503	1,724,575,703	1,847,689,432	1,865,359,156	1,914,555,329	14,836,065,770

Base compared to 06/07

(29,443,285) (238,412,000) (292,602,584) (178,716,417)

66,120,783 189,234,512 206,904,236 256,100,409

The amounts listed are from the SCO website on 1991 Realignment: http://www.sco.ca.gov/ard_payments_realign.html

- (a) The growth payments listed as received for FY 10/11, were actually paid Sept 27, 2011 (in FY 11/12)
- (b) The growth payments listed as received for FY 11/12, were actually paid 10/18/2012 (FY 12/13)
- (c) The growth payments listed as received for FY 12/13, were actually paid 11/22/2013 (FY 13/14)
- (d) The growth payment listed as received for FY 13/14, were actually paid 11/25/2014 (FY 14/15)
- (e) The growth payments listed as received for FY 14/15, were actually paid 10/09/2015 (FY 15/16)

VARIANCE BETWEEN THEORY AND REALITY

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15	Total
Base loss/gain	0	(103,848,470)	(418,206,636)	(577,369,775)	(621,542,544)	(511,685,172)	(303,633,855)	(296,544,556)	(298,892,820)	(3,131,723,827)
Growth	(74,405,185)	(105,389,452)	(104,972,554)	(44,172,769)	113,799,344	208,051,317	7,089,299	0	0	(0)
Variance	(74,405,185)	(209,237,922)	(523,179,190)	(621,542,544)	(507,743,200)	(303,633,855)	(296,544,556)	(296,544,556)	(298,892,820)	(3,131,723,827)



Capacities of effective county elected officials and senior executives

LEADERSHIP COMPETENCIES

Personal Literacy

- Trustworthiness
- Respect
- Self-awareness
- Mindfulness
- Empathy

Strategy

- Strategic thinking
- Adaptive change
- Shared vision
- Appreciate possibilities
- Future generations

Relationship Dexterity

- Coalition building
- Facilitate dialogue
- Value differences
- Manage conflict

Advocacy

- Service to community
- Public value of county
- Community needs

KNOWLEDGE COMPETENCIES

Governance

- State and local relations
- County role and powers
- Finances
- Decision-making

Policy

- Social and human services
- Public safety
- Land use
- Environmental protection

Administration

- Personnel and employee relations
- Performance assessment
- Customer service
- Accountability

Stewardship

- Financial and human resources
- Meeting management
- Communication
- Media relations
- Crisis management

LEARN . GROW . ACHIEVE

ABOUT CSAC INSTITUTE

The **California State Association of Counties (CSAC)** is the voice of California's 58 counties at the state and federal level. The Association's long-term objective is to significantly improve the fiscal health of all California counties – from Alpine County with a little more than 1,200 people to Los Angeles County with more than 10 million – so they can adequately meet the demand for vital public programs and services. CSAC also places a strong emphasis on educating the public about the value and need for county programs and services.

The **CSAC Institute for Excellence in County Government** is a professional, practical continuing education program for county officials operated by the California Counties Foundation, a 501(c)(3) charity, on behalf of CSAC. The Institute is designed to expand the capacity and capability of county elected officials, senior executives and managers to provide extraordinary services to their communities. Nearly 5,000 county officials and senior staff have participated in classes since the Institute was established in 2008.

For more information, please visit www.csacinstitute.org.

